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PATENT
Attorney Docket No.: 041514-5123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Mail Stop Amendment
)	
Ikuya KIKUCHI <i>et al.</i>)	Confirmation No. 1412
)	
Application No.: 09/878,181)	Group Art Unit: 2652
)	
Filed: June 12, 2001)	Examiner: Michael V. Battaglia
)	
For: COMPLEX OBJECTIVE LENS AND)	
METHOD FOR MANUFACTURING THE)	
SAME AND OPTICAL PICKUP DEVICE)	
AND OPTICAL RECORDING/)	
REPRODUCING APPARATUS)	

RECEIVED

AUG 13 2004

Technology Center 2600

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, **Mail Stop Amendment**
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

AMENDMENT TRANSMITTAL FORM

1. Transmitted herewith is an Amendment responding to the Office Action dated May 10, 2004.
2. Additional papers enclosed:

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | Drawings: <input type="checkbox"/> Formal <input type="checkbox"/> Informal (Correction) |
| <input checked="" type="checkbox"/> | Submission of Replacement Drawing Sheets (Six (6) replacement sheets of formal patent drawings containing eleven (11) figures) |
| <input checked="" type="checkbox"/> | Verified Translation of Japanese Patent Application No. 2000-175230 |
| <input type="checkbox"/> | Information Disclosure Statement |
| <input type="checkbox"/> | Form PTO-1449, _____ references included |
| <input type="checkbox"/> | Citations |
| <input type="checkbox"/> | Declaration of Biological Deposit |
| <input type="checkbox"/> | Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence. |

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicant(s) has/have inadvertently overlooked the need for a petition and fee for extension of time.

☐ Applicants petition for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>[Fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 420.00	\$ 210.00
<input type="checkbox"/> three months	\$ 950.00	\$ 475.00
<input type="checkbox"/> four months	\$ 1,480.00	\$ 740.00

Extension of time fee due with this request: \$0.00

If an additional extension of time is required, please consider this a Petition therefor.

☐ An extension for _____ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

5. Fee Calculation (37 C.F.R. §1.16)

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	12	minus	20	0	x \$18 each =	+ \$0
Independent Claims (37 C.F.R. §1.16(b))	4	minus	4	0	x \$86 each =	+ \$0
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$290.00	+ \$0
SUB-TOTAL =						\$0
Reduction by ½ for filing by a small entity						\$0
TOTAL FEE =						\$0

6. Fee Payment

- ☒ No fee is to be paid at this time.
- ☐ Please charge Deposit Account No. 50-0310 the amount of \$0.00. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including fees due under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account 50-0310.

Respectfully Submitted,

MORGAN, LEWIS & BOCKIUS LLP

Dated: August 9, 2004

By: _____



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PATENT OFFICE
JAPANESE GOVERNMENT

This is to certify that the annexed is a true copy of the following application as filed with this Office.

Date of Application: June 12, 2000
Application Number: Japanese Patent Application No. 2000-175230
Applicant(s): Pioneer Corporation

March 16, 2001

Commissioner, Patent Office
Kozo OIKAWA (Seal)
Cert. No. 2001-3018595

[Name of Documents]	Patent Application	
[Reference Number]	54P0672	
[Filing Date]	June 12, 2000	
[Address]	To the Commissioner of Patent Office	
[International Patent Classification]	G11B 7/135	
[Title of the Invention]	COMPLEX OBJECTIVE LENS, OPTICAL PICKUP DEVICE, OPTICAL RECORDING/REPRODUCING APPARATUS AND METHOD FOR MANUFACTURING COMPLEX OBJECTIVE LENS	
[Number of Claims]	12	
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[Name]	Pioneer Corporation	
[Agent]		
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[Name]	Motohiko FUJIMURA	
[Indication of Charges]		
[Prepayment Register Number]	016469	
[Amount of Payment]	¥21,000	
[List of Attached Documents]		
[Name of Document]	Specification	1
[Name of Document]	Drawing(s)	1
[Name of Document]	Abstract	1
[Number of General Authorization]	9006557	